

ASSISTED LIVING WAIVER PILOT PROJECT

Residential Care Facilities for the Elderly Eligibility Criteria

Eligibility Criteria

- Facilities must be licensed by the California Department of Social Services, Community Care Licensing Division (CCL).
- Facilities must have been found to be in “substantial compliance” with all CCL regulations during their most recent CCL survey. Facilities that are on probation with and/or have pending accusations against their license are not in substantial compliance for the purposes of the ALWPP.
- Facilities must enroll as a Medi-Cal Assisted Living Waiver provider.
- Facilities must have mandatory in-service training programs for their staff and must document staff attendance at all training programs.
- Facilities must have a process for soliciting and/or obtaining feedback from clients regarding their satisfaction with services.
- Facilities must have a quality assurance program to track:
 - Client complaints
 - Incident reports, including abuse, neglect and medication errors
- Facilities must have contingency plans to deliver services in the event of a disaster or emergency.
- The physical plant of the facility must have the following:
 - Units must be single occupancy with bathrooms shared by no more than 1 other resident. Units may be shared at the documented choice of the resident.
 - Units must have kitchenettes (defined as a small refrigerator, cooking appliance, which may be a microwave, and storage space for utensils and supplies). The kitchenette requirement is waived for facilities with 7 or fewer residents if the resident has continuous access to the facility’s kitchen.
 - Facilities must have adequate common space to serve meals and conduct activities.
 - Facilities must have a safe and locked place for the storage of medications.
- Facilities must have awake staff 24 hours a day. Facilities with 7 or fewer residents are required to staff on site 24 hours per day, 7 days per week, but the staff is not required to be awake 24 hours per day.
- Facilities must have a hospice waiver.
- Facilities must be able to care for cognitively impaired residents.
- Facilities must be able to meet the care needs of all participants and deliver all services in the assisted living bundle at all tiers of care.
- Facilities must have an emergency response system that enables clients to secure immediate assistance from caregivers. The system must operate in residents’ units and in the facility’s common space. The response system may be either voice-to-voice or pager-based.

- Facilities must maintain a service record for each resident. Records, at a minimum, must include a service plan, signed by the resident, and progress notes. Facilities agree to make those records available to DHS for audit.
- Staff must agree to collect data as specified.

###

ASSISTED LIVING WAIVER PILOT PROJECT

Assisted Living Bundle Services

Services Provided or Coordinated by Residential Care Facility Staff

- Develop a Service Plan for each resident detailing, at a minimum, the frequency and timing of assistance. Residents must be a part of the development process and must sign the Service Plan;
- Provide personal care and assistance with ADLs and IADLs sufficient to meet both the scheduled and unscheduled needs of the residents;
- Wash, dry and fold all laundry;
- Perform all necessary housekeeping tasks;
- Maintain the facility;
- Provide three meals per day plus snacks. Food must meet minimum daily nutritional requirements;
- Provide intermittent skilled nursing services as required by residents;
- In accordance with State law, provide assistance with the self-administration of medications or, as necessary, administer medications;
- Provide or coordinate transportation;
- Provide daily social and recreational activities; and
- Provide a response system that enables waiver beneficiaries to summon assistance from personal care providers.